

# Stokes Inversion via Principal Component Analysis

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**NCAR**

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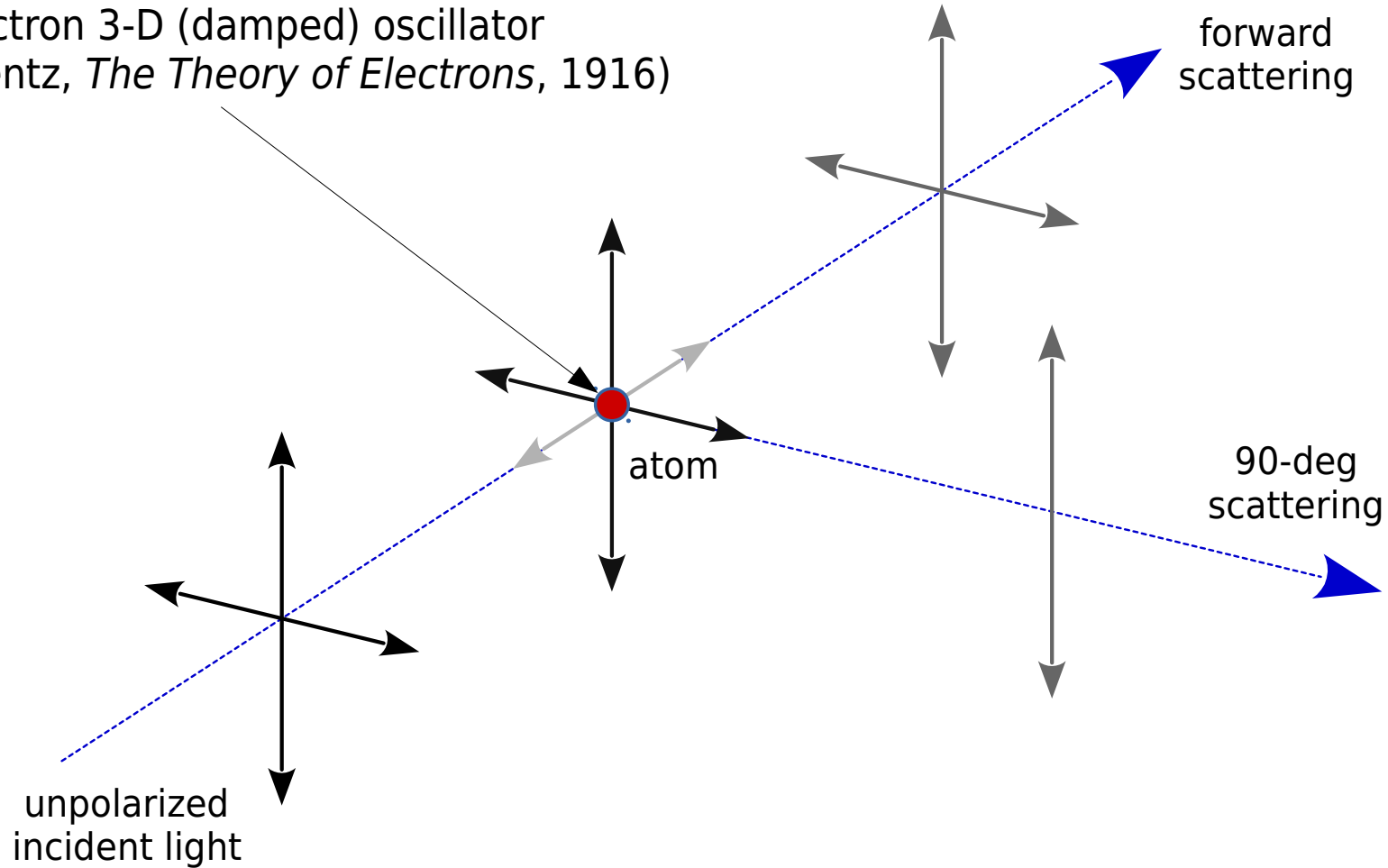
1 February 2019

# NLTE on the Sun

- **temperature gradients** in the stratified atmosphere cause **anisotropic irradiation** of the atmospheric layers
  - **atomic polarization** (i.e., *population imbalance* and *quantum coherence* among magnetic sub-levels)
- **density gradients** drive the **thermalization** of the atomic populations
  - competing effects of **anisotropic irradiation** and **isotropic collisions**

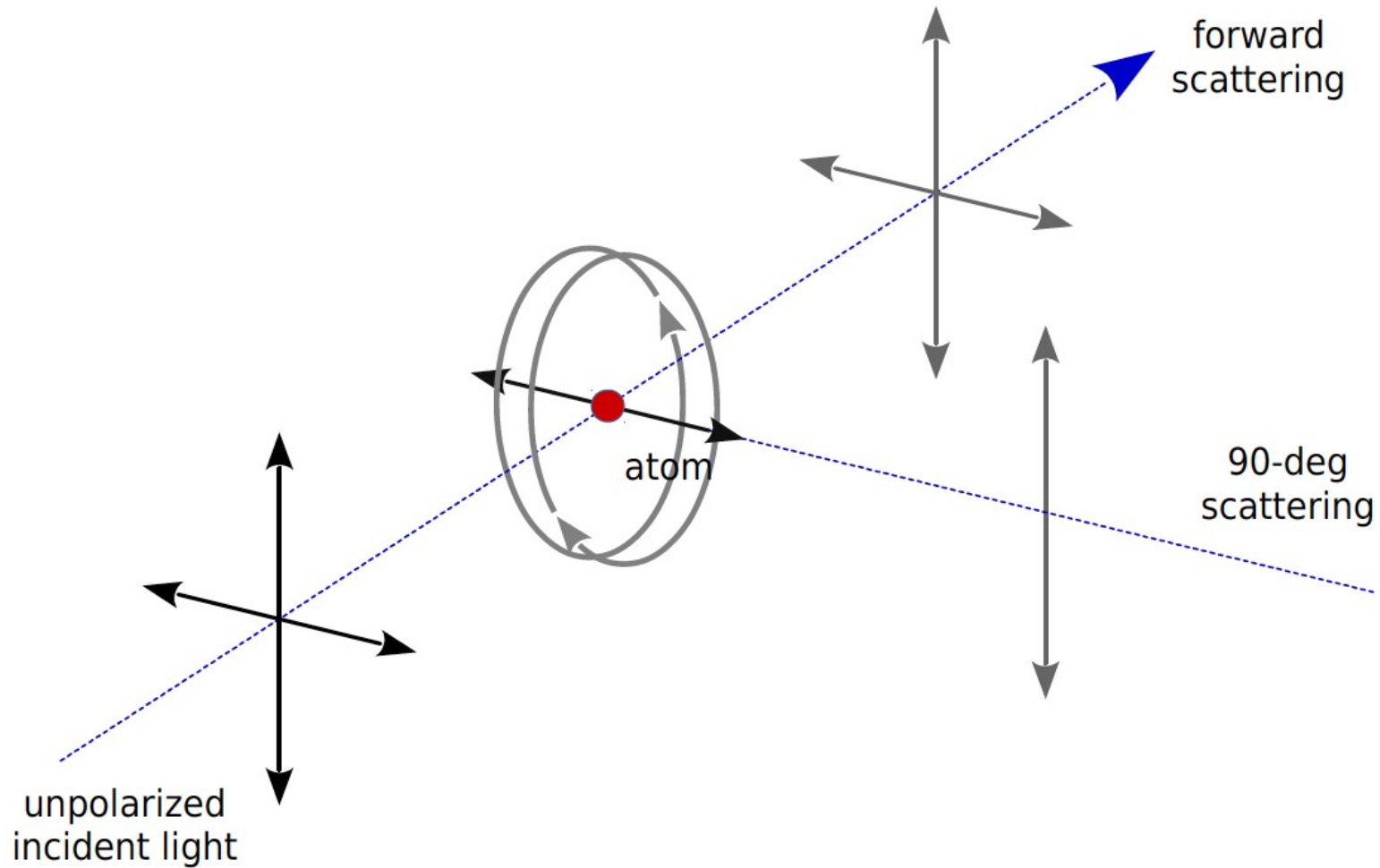
# Scattering Polarization (semi-classical view)

electron 3-D (damped) oscillator  
(H. A. Lorentz, *The Theory of Electrons*, 1916)



e.g., Rayleigh scattering

# Scattering Polarization (semi-classical view)

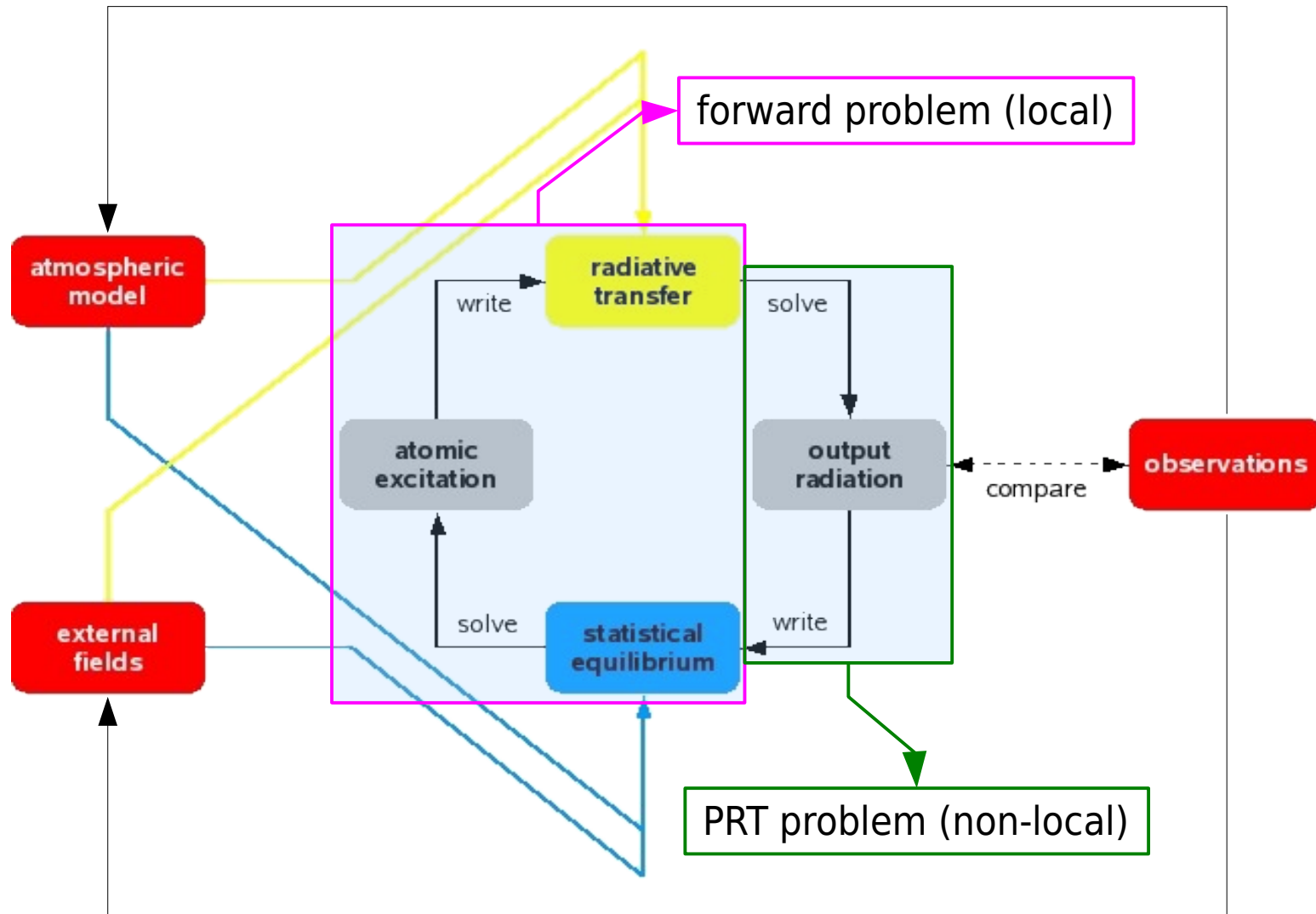


equivalent description in **spherical** basis

# NLTE on the Sun

- **photosphere:** radiation anisotropy is small; collision isotropy is high; collisional rates are large
  - atomic polarization is **typically negligible** (with some notable exceptions)
- **chromosphere:** radiation anisotropy may be large (mostly dependent on CLV); collision isotropy is high; collisional rates decrease quickly with height
  - atomic polarization is **important**
- **corona:** radiation anisotropy is dominant (from both CLV and height); collision isotropy starts to break down; collisional rates are low
  - atomic polarization is **dominant**

# NLTE on the Sun



**"self-consistency" loop**  
(Landi Degl'Innocenti & Landolfi 2004)

# Stokes Inversion via Pattern Recognition

the NLTE “inverse” problem is built upon a complex and time-consuming forward problem

→ inversion strategy: pattern recognition techniques  
**Principal Component Analysis (PCA)**

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→ inversion strategy: pattern recognition techniques  
**Principal Component Analysis (PCA)**

## ***Procedure***

- determine a “universal” basis of Stokes eigenprofiles (by some optimized sampling of parameter space)
- projection coefficients define a “dual” Stokes space
- build a database of Stokes profiles that is “uniformly dense” in this dual space (by “filtered” Monte Carlo)
- project observations over the eigenbasis
- match observations to database entries (e.g., minimize Euclidean distance in the dual space)



# Principal Component Analysis Single-Line

Example

He I  $\lambda 1083$  nm

on-disk  
( $20^\circ \leq \vartheta \leq 30^\circ$ )

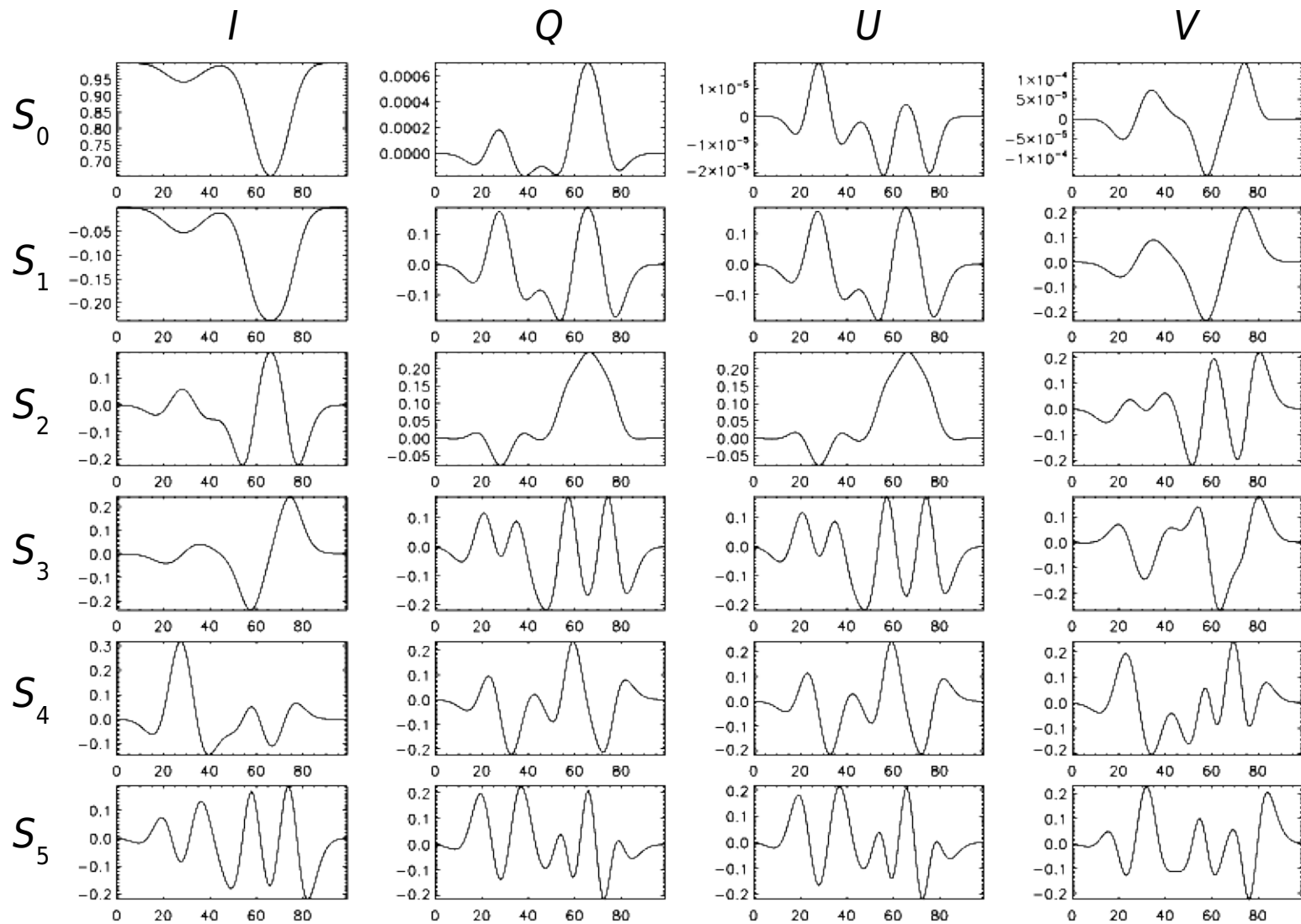
$h \leq 0.06 R_\odot$

$B \leq 2000$  G

( $\vartheta_B, \varphi_B$ ) in  $4\pi$  sr

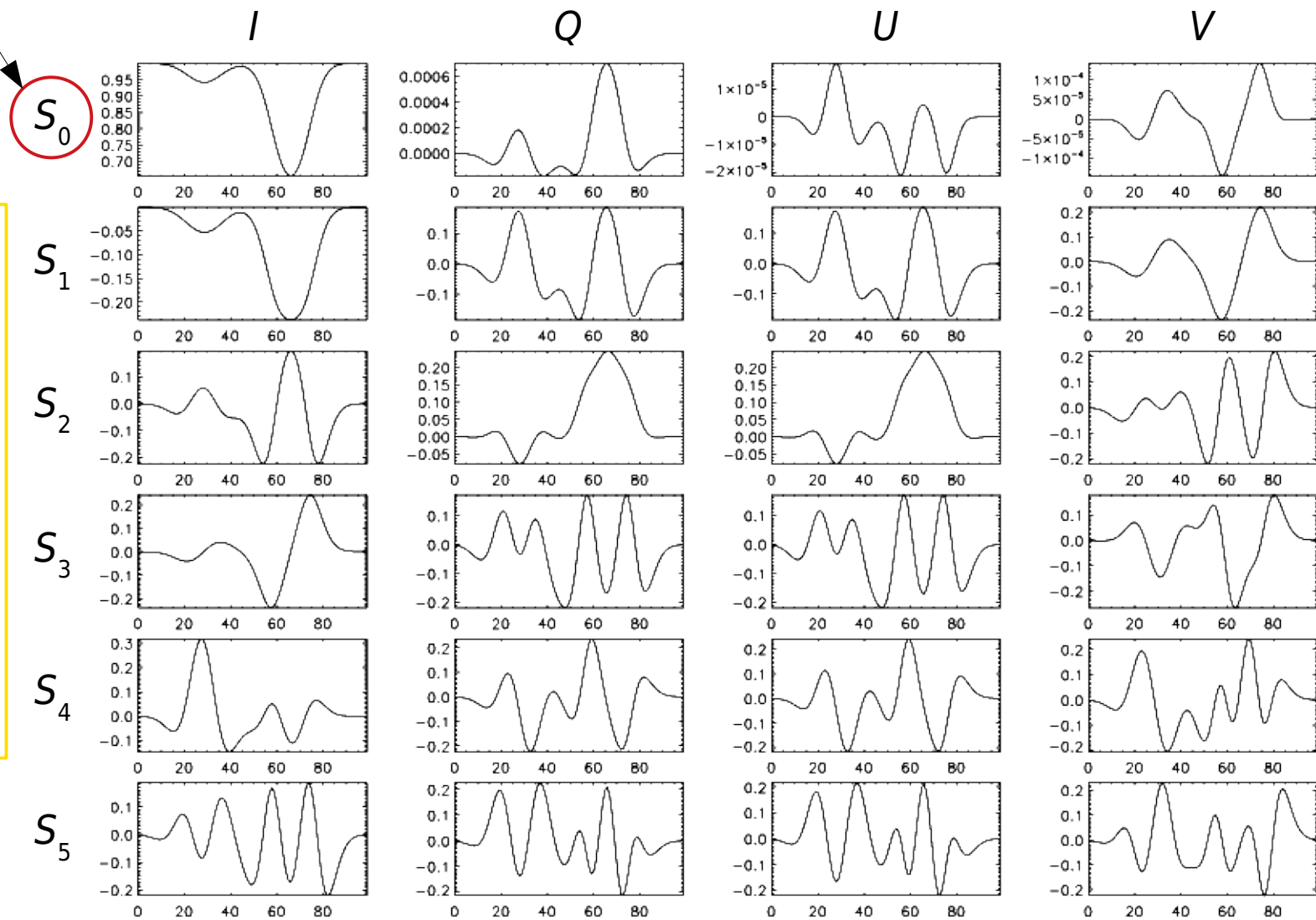
$10^4$  K  $\leq T \leq 2 \times 10^4$  K

$0.2 \leq \tau \leq 1.4$



# Principal Component Analysis Single-Line

Average over parameter space



Example

He I  $\lambda 1083$  nm

on-disk  
( $20^\circ \leq \vartheta \leq 30^\circ$ )

$h \leq 0.06 R_\odot$

$B \leq 2000$  G

( $\vartheta_B, \varphi_B$ ) in  $4\pi$  sr

$10^4$  K  $\leq T \leq 2 \times 10^4$  K

$0.2 \leq \tau \leq 1.4$

# Principal Component Analysis Multi-Line

Example

He I  $\lambda 1083$  nm  
+  $\lambda 587.6$  nm

off-limb  
( $85^\circ \leq \vartheta \leq 95^\circ$ )

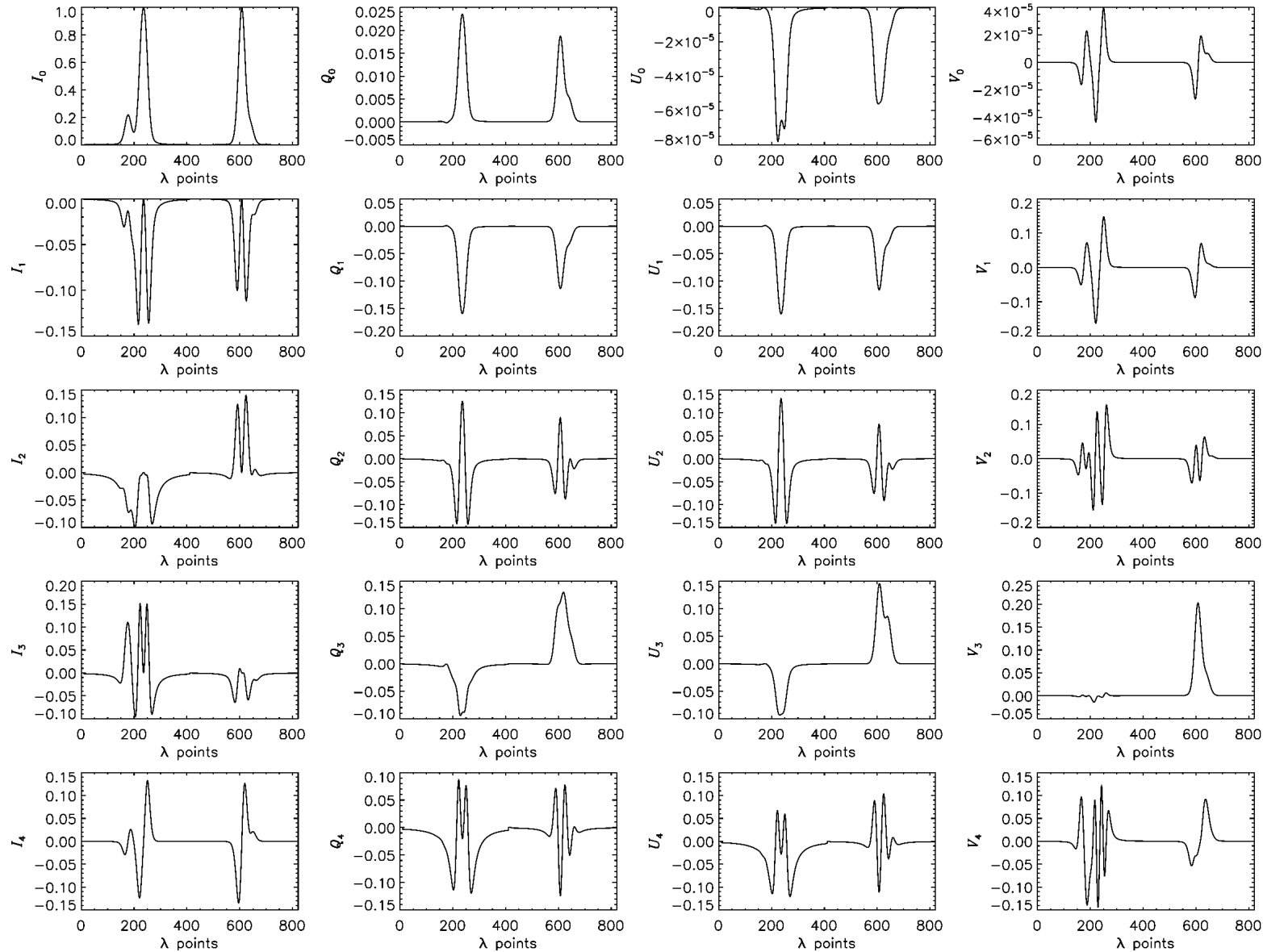
$0.01 R_\odot \leq h \leq 0.06 R_\odot$

$B \leq 200$  G

( $\vartheta_B, \varphi_B$ ) in  $4\pi$  sr

$10^4$  K  $\leq T \leq 1.5 \times 10^4$  K

$0.5 \leq \tau \leq 1.5$  ( $\lambda 1083$ )



# PCA: General Considerations

## *Pros*

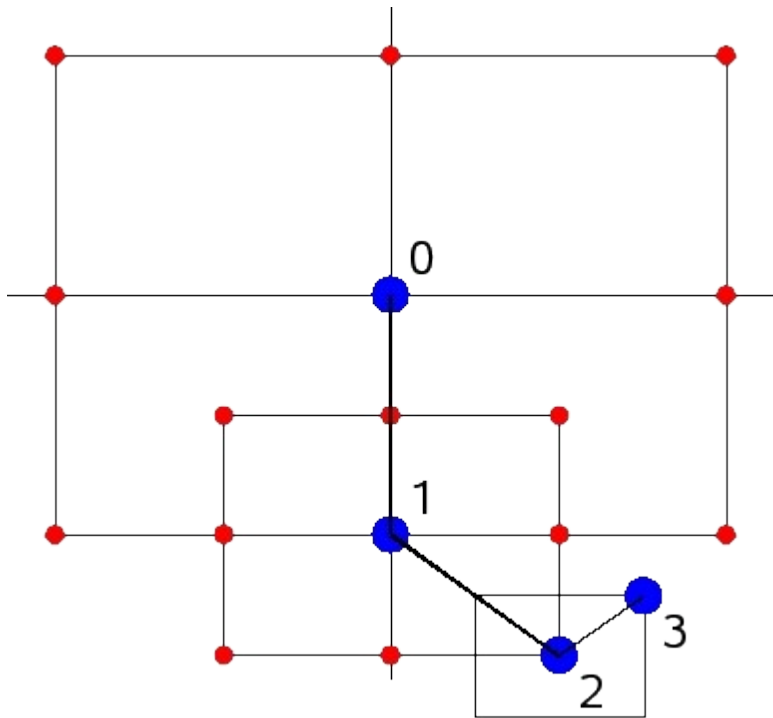
- **fast** (searches best fit in a pre-built database of models)
- **stable** (always finds best fit: no issues with local minima)
- **model independent** (universal search/minimization algorithm)

## *Cons*

- **no solution refinement** (errors fixed by the density of the database)
- **database can become unmanageably large** (dimensionality of parameter space, parameter ranges, target error; **partial mitigation from optimally sampling the parameter space, indexing**)

# PCA: Solution Refinement

simple approach to solution refinement



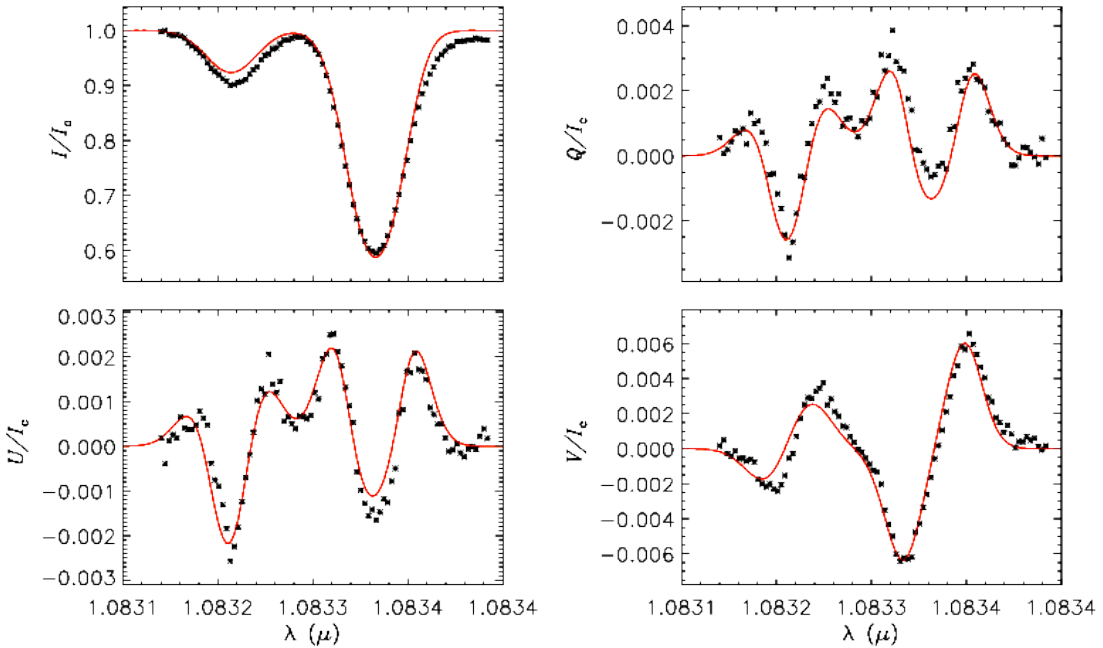
- “0”-solution and initial error box are determined by the PCA inversion
- $N$ -step refinement by search of the error perimeter (e.g., halving the length at each step)

## **Issues**

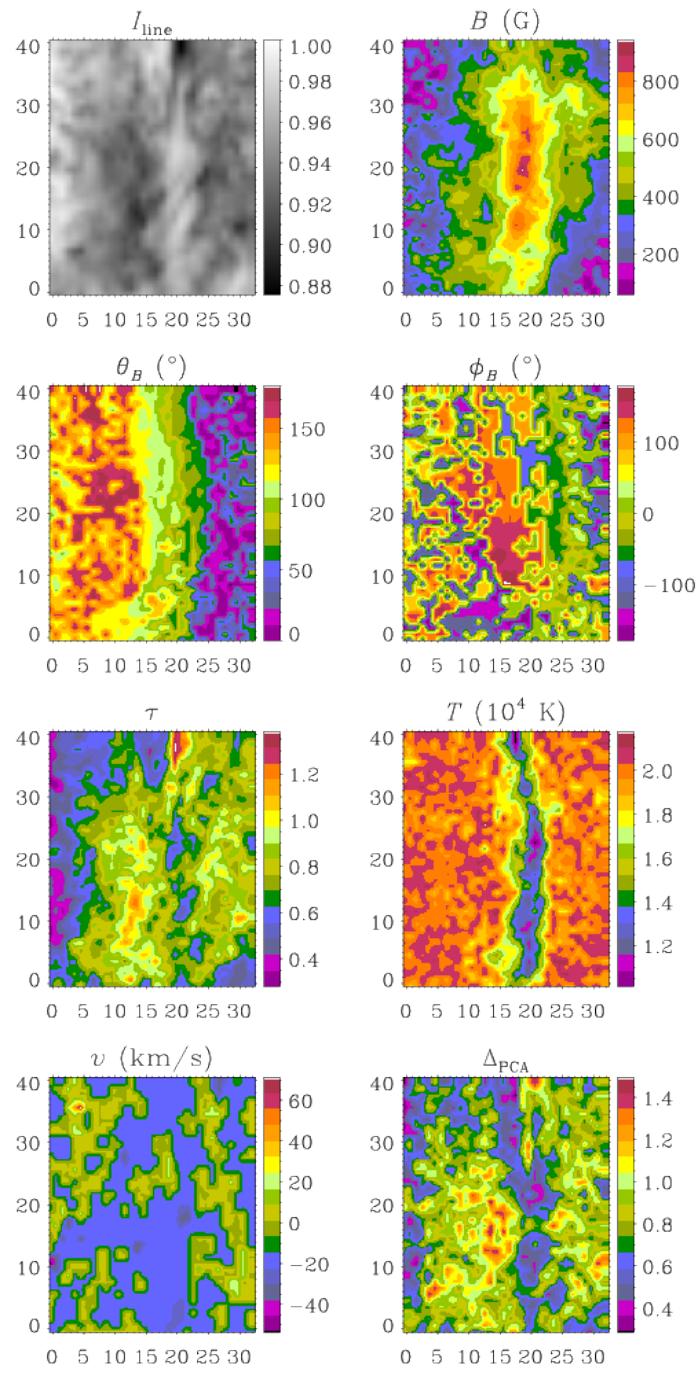
- possible trapping in local minima (if initial error box is too large)
  - need PCA database sufficiently dense for initial inversion
- systematic search of error perimeter can be very slow (depends on number of parameters in forward model)
  - need better strategy (e.g., Levenberg-Marquardt minimization)

**He I  $\lambda 1083$  nm**  
 Martínez Pillet, July 5, 2005  
 @ VTT + IAC TIP II

Magnetic map of an A-R filament



$\tau = 0.958 \pm 0.035$        $B = 733.05 \pm 22.96$  G  
 $T = 13534.70 \pm 521.18$  K       $\theta_B = 104.89 \pm 0.99$   
 $\delta = 0.736$        $\phi_B = -74.92 \pm 0.99$   
 $\theta = 27.04 \pm 0.76$        $\theta_B = 96.58 \pm 0.49$   
 $h = 0.0338 \pm 0.0038$  R       $\phi_B = -69.93 \pm 23.87$



Kuckein et al. 2009

# Magnetic map of a quiescent prominence

He I  $\lambda 587.6$  nm ( $D_3$ )

Casini et al., May 25, 2002

@ DST + HAO ASP

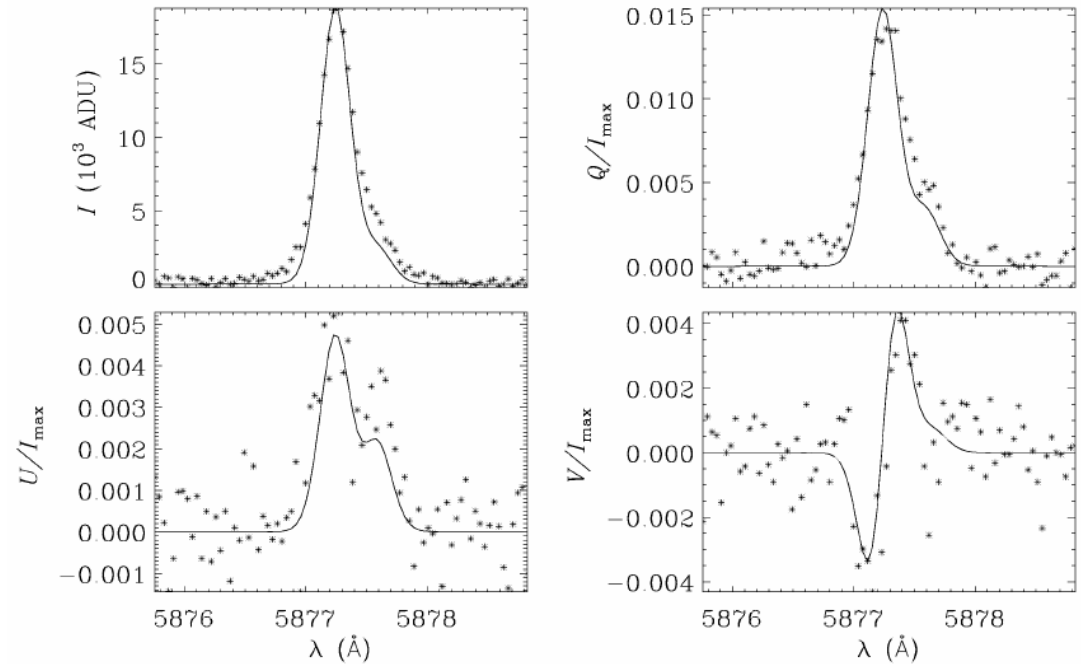
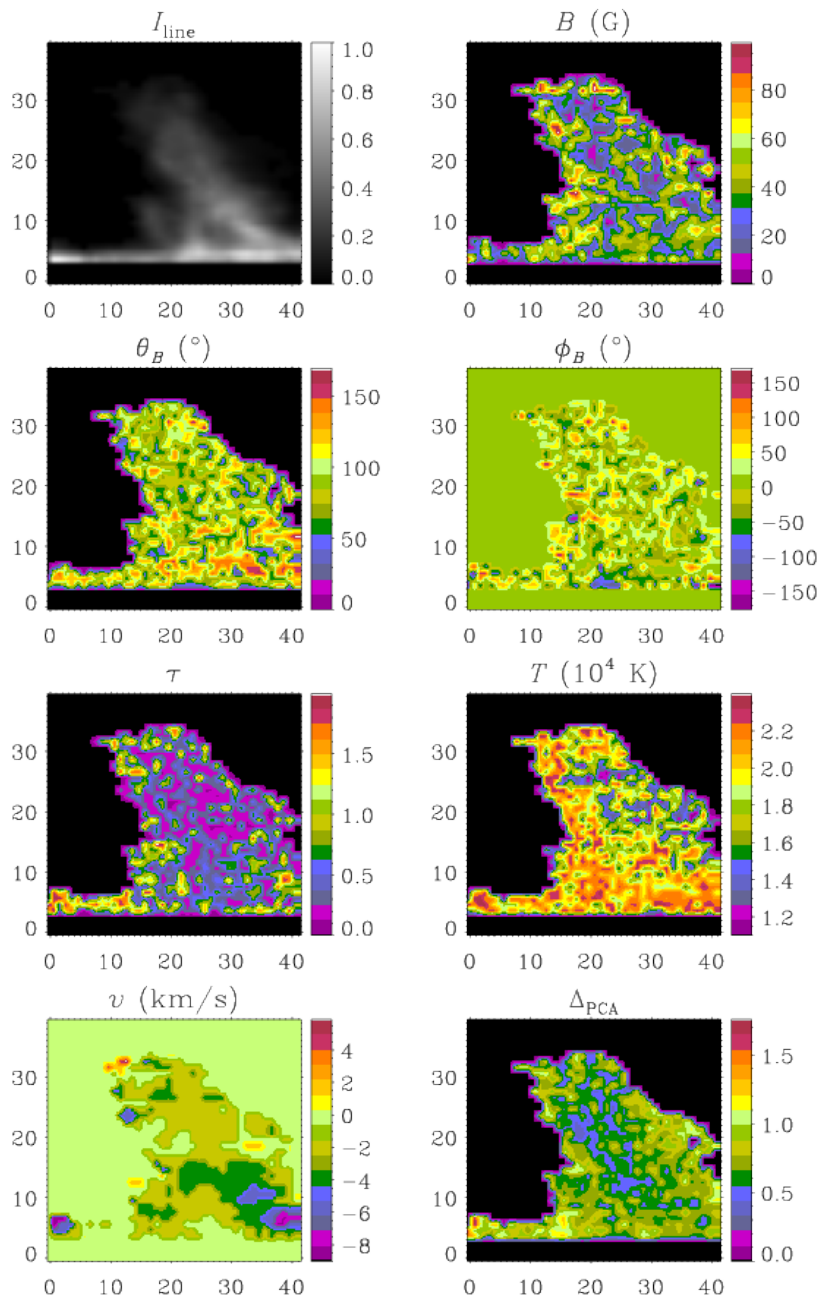
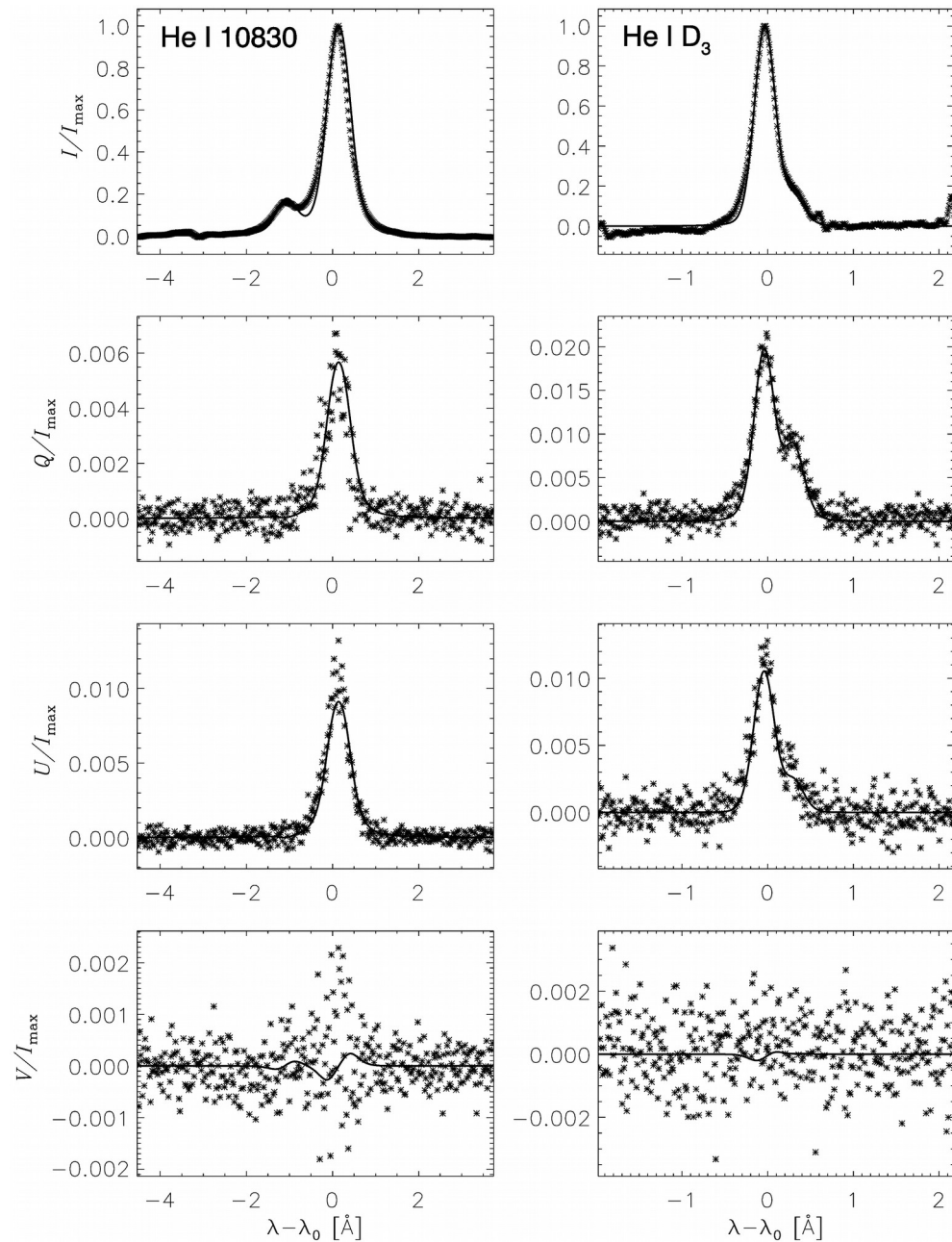


FIG. 6.—Same as Fig. 2, but for the point (15, 26) in the maps, corresponding to a field strength of 87 G ( $\Theta_B = 56^\circ$ ). Because of the larger field strength, the antisymmetric shape of Stokes  $V$  is now evident.

Casini et al. 2003

He I  $\lambda 1083$  nm +  $\lambda 587.6$  nm  
 Paletou et al., 29 June, 2007  
 @ THéMIS



PCA database:  
 150000 models (on the same  
 parameter space of slide #9)

Stokes  $U$  and  $V$  of He I 1083  
 not quite a good fit, likely due  
 to slightly different plasma  
 properties for the two lines

**Figure 2.** Multi-line inversion of simultaneous and cospatial spectropolarimetric observations of He I 10830 (left) and D<sub>3</sub> (right) in a quiescent prominence, taken with THéMIS on 2007 June 29. The inverted vector magnetic field for this example is  $B = 3.0$  G,  $\vartheta_B = 57^\circ.8$ , and  $\varphi_B = 42^\circ.7$ .

Casini et al. 2009



# Ca II 854.2 Inversion Test

## “prominence” case

### Parameter space

$$0.01 R_{\odot} \leq h \leq 0.06 R_{\odot}$$

off-limb ( $85^{\circ} \leq \vartheta \leq 95^{\circ}$ )

$$0.2 \text{ G} \leq B \leq 200 \text{ G}$$

$(\vartheta_{\mathbf{B}}, \varphi_{\mathbf{B}})$  in  $4\pi$  sr

$$10^4 \text{ K} \leq T \leq 2 \times 10^4 \text{ K}$$

$$0.5 \leq \tau \leq 1.5$$

### PCA database:

150000 models (on the same parameter space)

### Synthetic “map”:

2700 random models  
( $\sim 52 \times 52$  arcsec<sup>2</sup> map)

### Inversion time:

$\sim 150$  s (excluding readout of the PCA database) on one core (Intel Core2 T7600 2.33 GHz)

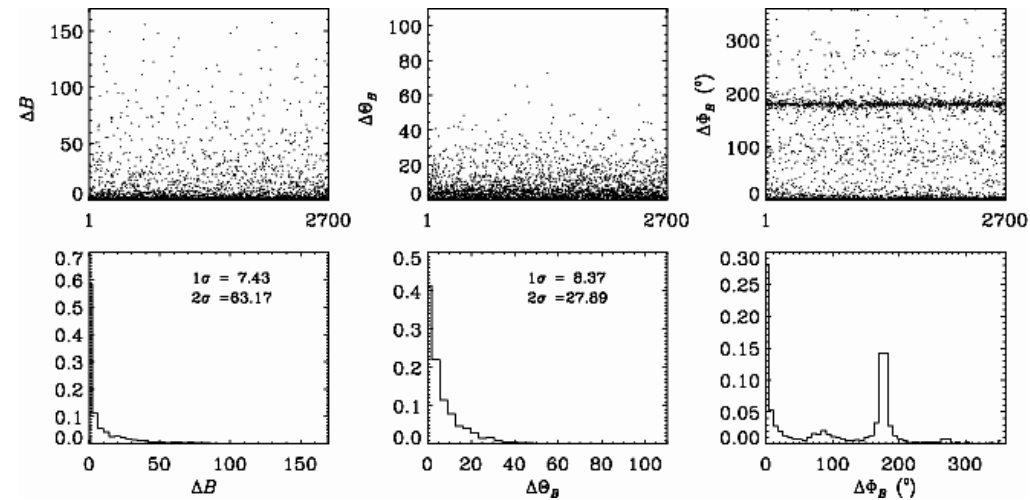
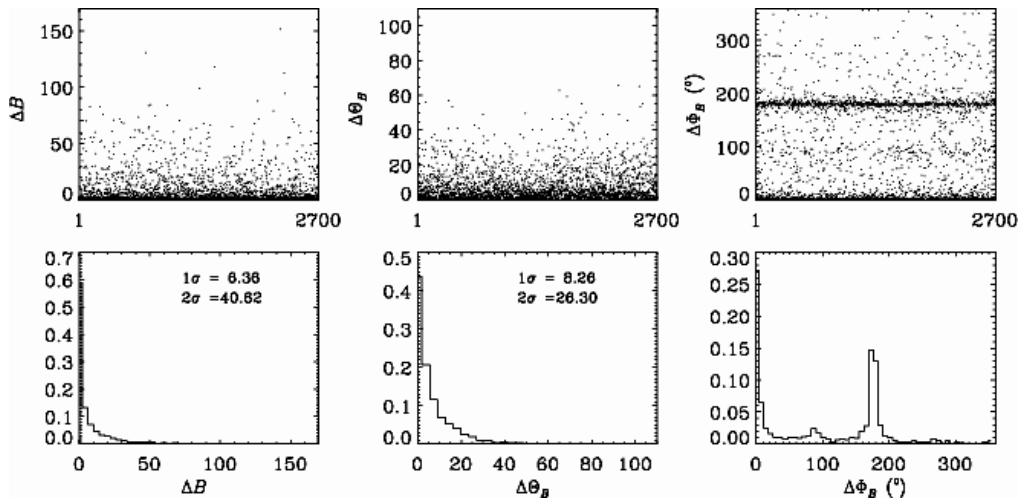
# Ca II 854.2 Inversion Test

Spectro-polarimeter (ViSP)  
 $R = 180000$  (0.048 Å sampling)

Lyot filter (ChroMag)  
FWHM = 0.2 Å, 0.1 Å sampling

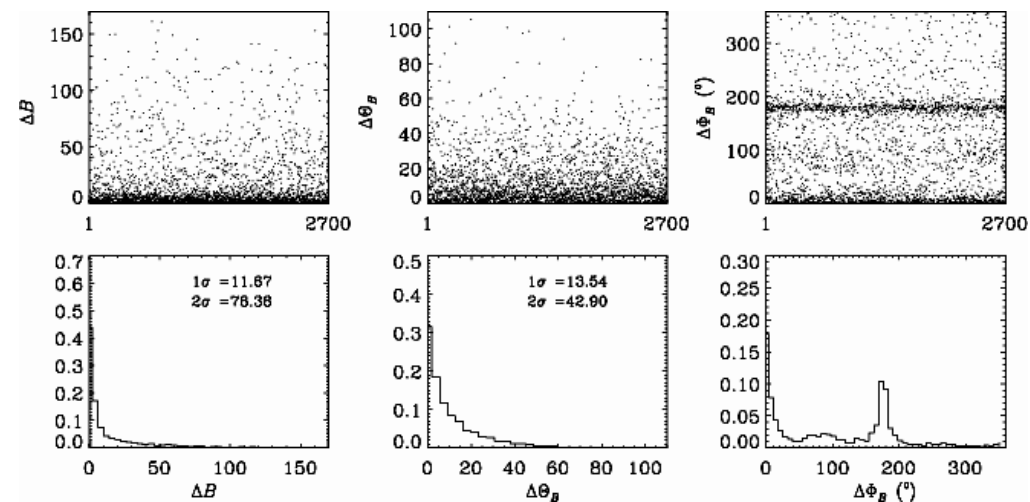
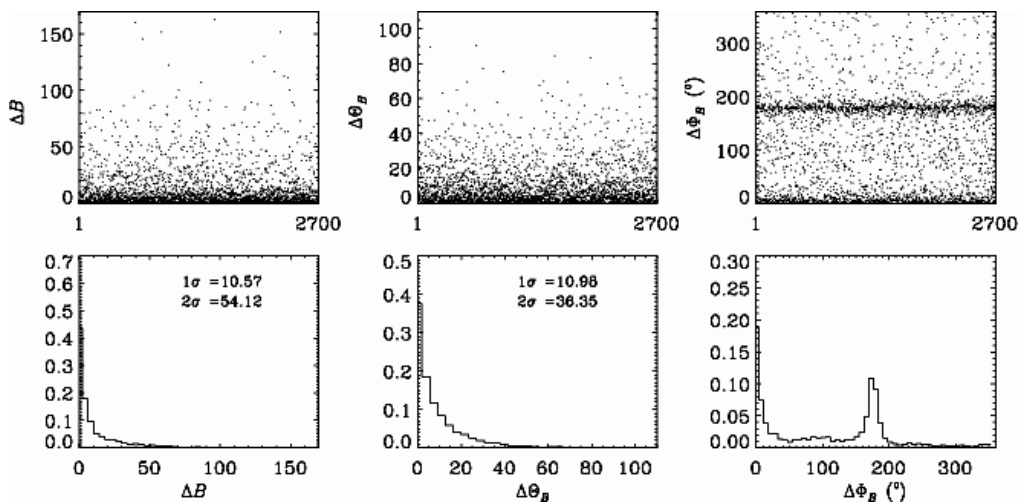
No noise

No noise



$S/N = 10^3$

$S/N = 10^3$



# Indexing of PCA Databases

## MAIN IDEA

***low, dominant orders of the PCA eigenprofiles*** capture the essential physics of line formation (higher orders are more susceptible to noise and model errors)

⇒ for each model realization, the ***values of the low-order PCA projections*** coarsely locate the model in the parameter space

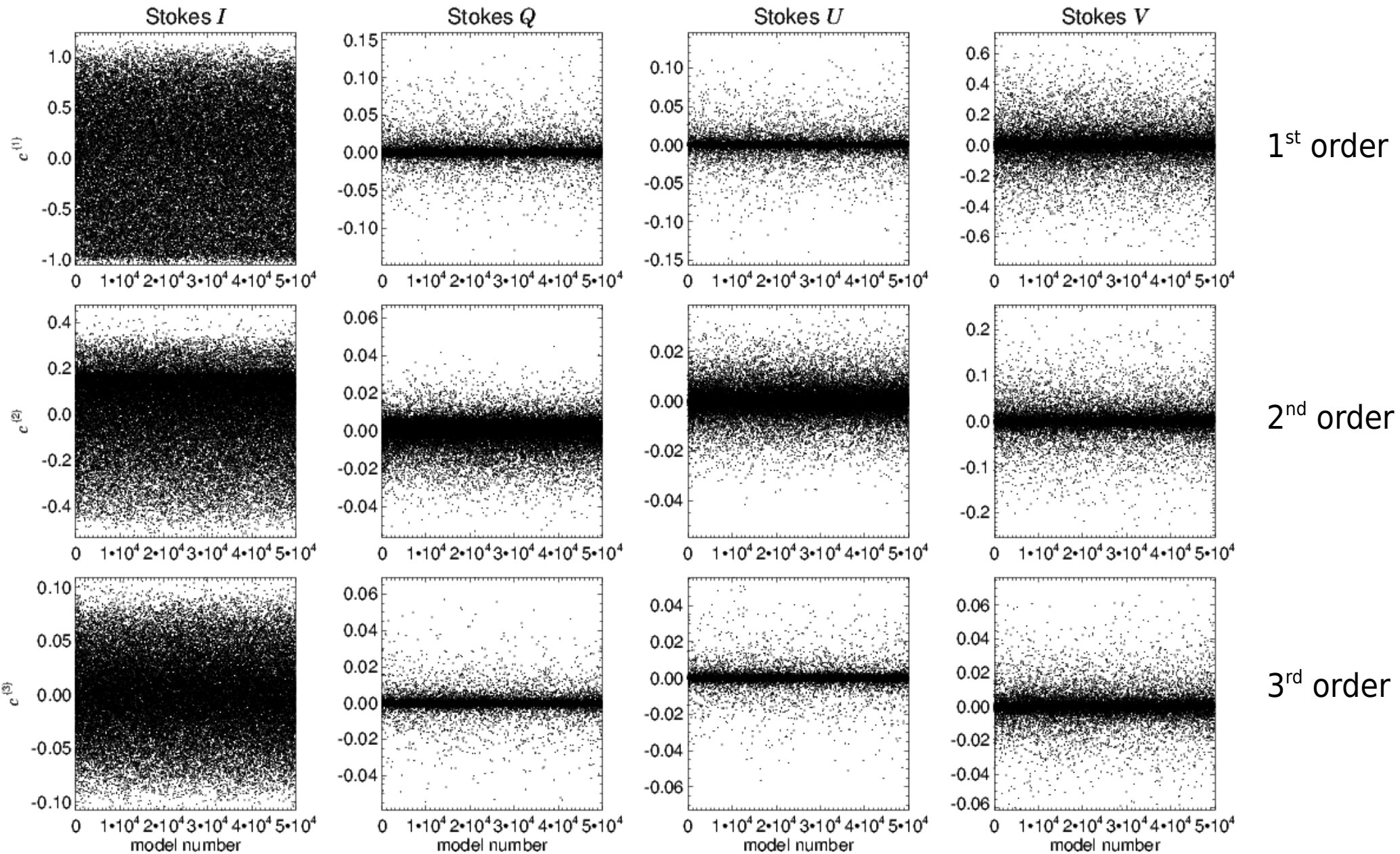
## STRATEGY

to study the ***value distribution*** of low-order PCA projections in order to partition the inversion database into ***indexed, disjoint classes***

⇒ search ***only one pertinent class per map point***, rather than the entire database, to speed up the database search

# Distribution of PCA Projections

## He I 1083 nm, on disk



# Indexing of PCA Databases

## TESTED IMPLEMENTATION

rely only on **sign** of PCA projection (**binary partitioning**)

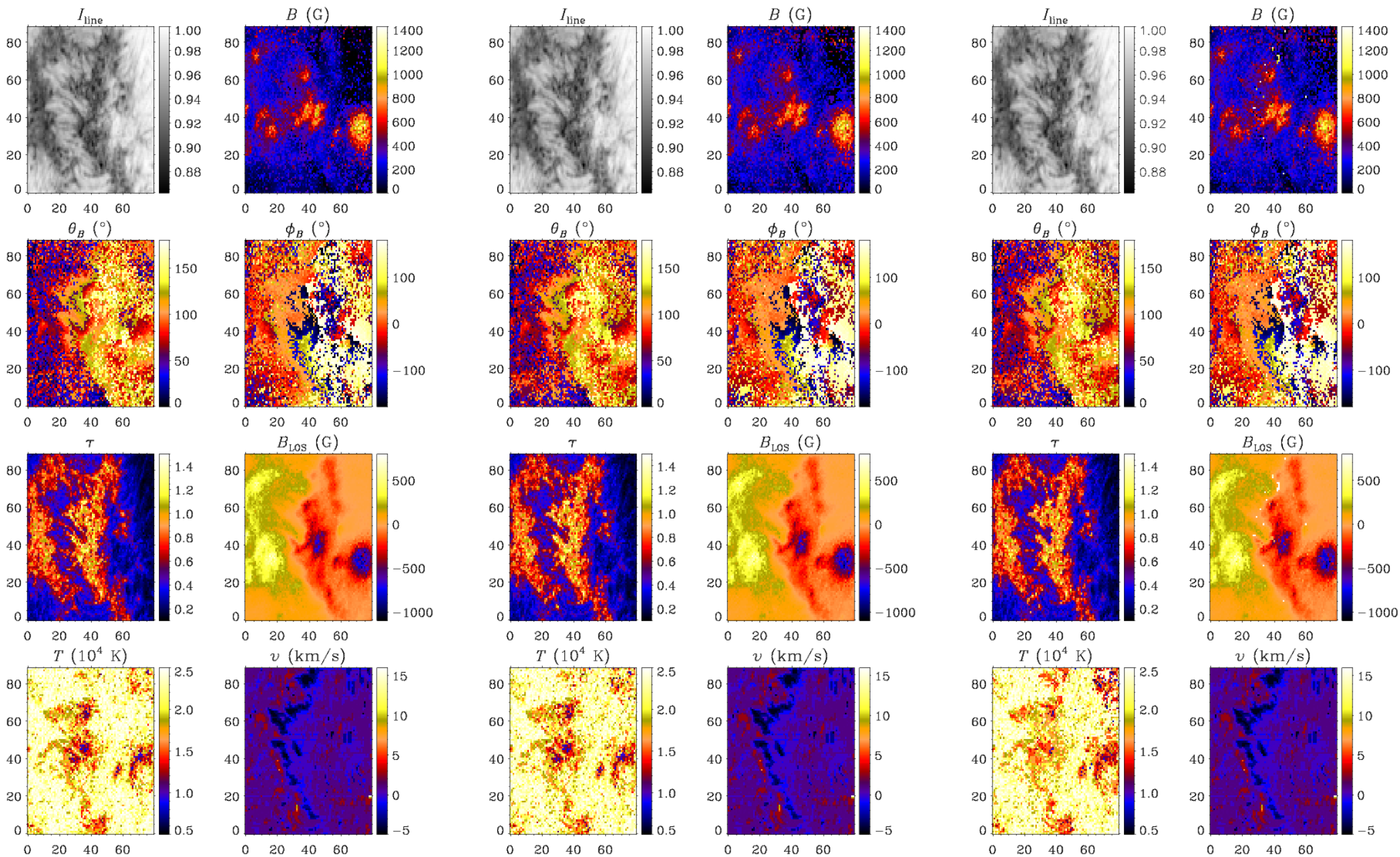
$$N_{\text{classes}} = 2^{4n}$$

where  $n$  is the number of orders used for the partition

⇒ each class is identified by a **unique binary number** (a “bar code”)

We tested such partitioning on a database of 750,000 Stokes vectors for the on-disk He I 1083 nm, and used it to invert VTT/TIP II observations by B. Lites of an A-R filament (7120 map points; single-core process)

# PCA Indexed Inversion

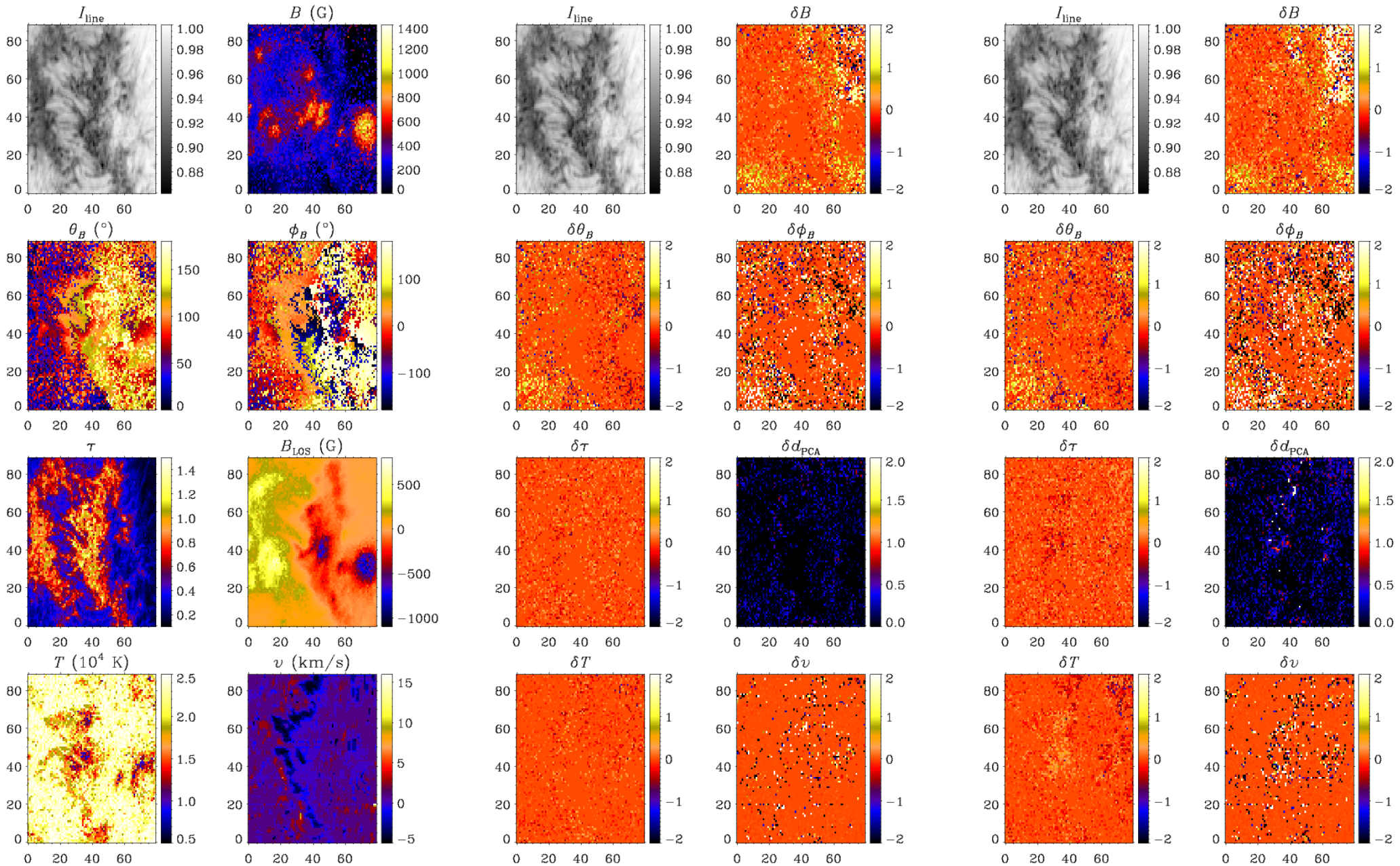


**No indexing**  
(full database; **730 s**)

**1<sup>st</sup> order indexing**  
(16 classes; **50 s**)

**2<sup>nd</sup> order indexing**  
(256 classes; **6 s**)

# Difference Maps



$$\delta p \equiv 2(p^{(k)} - p^{(0)}) / (|p^{(k)}| + |p^{(0)}|)$$

**1<sup>st</sup> order indexing**  
(16 classes; 50 s)

**2<sup>nd</sup> order indexing**  
(256 classes; 6 s)

# PCA Indexed Inversion

## **EXAMPLE**

- 10M model database
- 2 indexing orders
- full disk, 1 arcsec spatial resolution ( $\sim 2.9\text{M}$  points)

$\Rightarrow$  1 full inversion every  $\sim 9$  hrs (single-core process; 2012 estimate!)



# Indexing of PCA Databases

## POSSIBLE DEVELOPMENT

Use both *median and variance* of PCA projection distributions to create a *ternary partition* of the inversion database

$$N_{\text{classes}} = 3^{4n}$$

where  $n$  is the number of orders used for the partition

⇒ ~ 80× increase in inversion speed with just one indexing order

Questions or Ideas?